



STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES

**MEETING AGENDA**  
**Oklahoma Securities Commission**  
**The First National Center**  
**120 North Robinson, Eighth Floor Conference Room**  
**Oklahoma City, Oklahoma**

**September 18, 2012**

10:00 a.m.

- I. Call to order
- II. Acceptance of minutes for the July 26, 2012 meeting
- III. Old Business
- IV. Administrator's Report
  - A. Presentation and discussion of the financial report through August 31, 2012
  - B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of July and August 2012
  - C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of August 31, 2012
  - D. Presentation and discussion of the report on the firms and professionals registration activities of the Department during the months of July and August 2012
  - E. Presentation and discussion of the reports on the on-site examinations for the months of July and August 2012
  - F. Presentation and discussion of investor education activities
  - G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities
- V. New Business
- VI. Adjournment