

IRVING L. FAUGHT  
ADMINISTRATOR



MARY FALLIN  
GOVERNOR

STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES

**MEMORANDUM**

**To:** *Irving L. Faught, Administrator*

**From:** *Ken Maillard, Director of Registrations*

**Date:** *July 20, 2012*

**Re:** **REGISTRATIONS & EXEMPTIONS REPORT**

Attached hereto please find the report of the activities of the registration and exemption section as of June 30, 2012.

Attachment

**Oklahoma Department of Securities**  
**Registrations Division Summary of Applications Processed**  
 Between 7/1/2011 and 6/30/2012

Application Type	Total Processed	Total with Deficiencies /Comments	% of Total Processed to Total with Deficiencies	Total Granted/ Registered	Total Granted/ Registered After Correcting Deficiency	% of Total Granted/ Registered After Correcting Deficiency to Total with Deficiencies	Withdrawn Because of Deficiency	Denied Because of Deficiency
Business Opportunity Reg., Initial	0	0	0%	0	0	0%	0	0
Coordination, Initial	41	25	61%	6	6	24%	1	0
No Action/Interpretive Opinion	1	1	100%	0	0	0%	0	0
Qualification, Initial	0	0	0%	0	0	0%	0	0
Exemptions	87	22	25%	72	17	77%	3	0
Reg. D Filings (506 only)	636	16	3%	533	10	62%	0	0
Subdivided Land Sales	0	0	0%	0	0	0%	0	0
Public Trust Filings	117	1	1%	116	0	0%	0	0
<b>Totals:</b>	<b>882</b>	<b>65</b>	<b>7%</b>	<b>727</b>	<b>33</b>	<b>51%</b>	<b>4</b>	<b>0</b>