

MINUTES OF THE MEETING
OF THE
OKLAHOMA SECURITIES COMMISSION

January 17, 2013

Pursuant to the previously announced date and time, the regularly scheduled meeting of the Oklahoma Securities Commission (Commission) convened at 10:00 a.m., January 17, 2013, in the conference room of the Oklahoma Department of Securities, Oklahoma City, Oklahoma. The following members were present:

Charles E. Newton, Chairperson
Nancy Hyde, Member
Robert M. Neville, Member
Mick Thompson, Ex-Officio Member

P. David Newsome, Jr., was not in attendance.

The following persons were also present:

Irving L. Faught, Administrator, Department of Securities
Melanie Hall, Deputy Administrator, Department of Securities

Mr. Newton officially opened the meeting. Notice of the date, time, and place of the meeting was given in accordance with applicable laws and rules.

The minutes of the December 4, 2012 meeting were accepted as presented.

The Administrator and Deputy Administrator presented the activities of the Department for the months of November 2012 and December 2012, as contained in the written reports distributed to the Commissioners and incorporated herein by reference.