

MINUTES OF THE MEETING  
OF THE  
OKLAHOMA SECURITIES COMMISSION

September 18, 2014

Pursuant to the previously announced date and time, the regularly scheduled meeting of the Oklahoma Securities Commission (Commission) convened at 10:00 a.m., September 18, 2014, in the conference room of the Oklahoma Department of Securities, Oklahoma City, Oklahoma. The following members were present:

Robert M. Neville, Chairperson  
Nancy Hyde, Vice Chairperson  
Charles E. Newton, Member  
P. David Newsome, Jr., Member

Mick Thompson, Ex-Officio Member, was not in attendance.

The following persons were also present:

Irving L. Faught, Administrator, Department of Securities  
Melanie Hall, Deputy Administrator, Department of Securities  
Laura Swingle, Business Manager, Department of Securities  
Stephanie Ballard, Crawford & Associates, P.C.

Mr. Neville officially opened the meeting. Notice of the date, time, and place of the meeting was given in accordance with applicable laws and rules.

The minutes of the May 15, 2014 meeting were accepted as presented.

There was no Old Business.

Stephanie Ballard presented the financial reports for the fiscal year ended June 30, 2014, and for the first two months of Fiscal Year 2015.

The Administrator and Deputy Administrator presented the activities of the Department for the months of May through August 2014, as contained in the written reports distributed to the Commissioners and incorporated herein by reference.

The Administrator reported on the anticipated relocation of the Department's offices under New Business. The Commissioners, with the exception of Mr. Newsome, then toured potential office space in the City Place Building.

The meeting was adjourned.