

MINUTES OF THE MEETING  
OF THE  
OKLAHOMA SECURITIES COMMISSION

January 15, 2015

Pursuant to the previously announced date and time, the regularly scheduled meeting of the Oklahoma Securities Commission (Commission) convened at 10:00 a.m., January 15, 2015, in the conference room of the Oklahoma Department of Securities, Oklahoma City, Oklahoma. The following members were present:

Robert M. Neville, Chairperson  
Nancy Hyde, Vice Chairperson  
Charles E. Newton, Member

P. David Newsome, Jr., Member, and Mick Thompson, Ex-Officio Member, were not in attendance.

The following persons were also present:

Irving L. Faught, Administrator, Department of Securities  
Melanie Hall, Deputy Administrator, Department of Securities  
Laura Swingle, Business Manager, Department of Securities  
Stephanie Ballard, Crawford & Associates, P.C.  
Mike Johnson, Guest of Mr. Newton

Mr. Neville officially opened the meeting. Notice of the date, time, and place of the meeting was given in accordance with applicable laws and rules.

The minutes of the November 20, 2014 regularly scheduled meeting were accepted as presented. The minutes of the December 18, 2014 special meeting were accepted as presented.

There was no Old Business.

Stephanie Ballard presented the financial report through December 31, 2014.

The Administrator and Deputy Administrator presented the activities of the Department for the months of November and December 2014, as contained in the written reports distributed to the Commissioners and incorporated herein by reference.

There was a general discussion regarding the status of the First National Center. The Commissioners emphasized the need to relocate the offices of the Department.

The meeting was adjourned.