

MINUTES OF THE SPECIAL MEETING
OF THE
OKLAHOMA SECURITIES COMMISSION

July 30, 2015

Pursuant to the previously announced date and time, a special meeting of the Oklahoma Securities Commission (Commission) convened at 10:00 a.m., July 30, 2015, in the conference room of the Oklahoma Department of Securities, Oklahoma City, Oklahoma. The following members were present:

Robert M. Neville, Chairperson
Charles E. Newton, Member
P. David Newsome, Jr., Member

Nancy Hyde, Vice Chairperson, and Mick Thompson, Ex-Officio Member, were not in attendance.

The following persons were also present:

Irving L. Faight, Administrator, Department of Securities
Melanie Hall, Deputy Administrator, Department of Securities
Laura Swingle, Business Manager, Department of Securities
Jennifer Shaw, Enforcement Attorney, Department of Securities

Mr. Neville officially opened the meeting. Notice of the date, time, and place of the meeting was given in accordance with applicable laws and rules.

The minutes of the March 19, 2015 regularly scheduled meeting were accepted as presented.

Upon a motion made by Commissioner Newsome and seconded by Commissioner Newton, the Commission unanimously elected Commissioner Hyde as Chairperson and Commissioner Newton as Vice-Chairperson for one year terms beginning July 1, 2015.

The Administrator and Deputy Administrator presented the activities of the Department for the months of March through June 2015, as contained in the written reports distributed to the Commissioners and incorporated herein by reference.

Commissioner Newsome reported that he had been contacted by Claude Daniel Pentecost and Pentecost Capital Management, Inc. in connection with pending ODS File No. 15-030. Commissioner Newsome reported that he is not representing either party in the matter.

The Administrator reported that the entirety of the Invest Ed® program was brought in-house effective July 1, 2015.

The meeting was adjourned.