

MINUTES OF THE REGULARLY SCHEDULED MEETING
OF THE
OKLAHOMA SECURITIES COMMISSION

September 17, 2015

Pursuant to the previously announced date and time, the regularly scheduled meeting of the Oklahoma Securities Commission (Commission) convened at 10:00 a.m., September 17, 2015, in the conference room of the Oklahoma Department of Securities, Oklahoma City, Oklahoma. The following members were present:

Nancy Hyde, Chairperson
Charles E. Newton, Member
P. David Newsome, Jr., Member
Robert M. Neville, Member

Mick Thompson, Ex-Officio Member, was not in attendance.

The following persons were also present:

Irving L. Faight, Administrator, Department of Securities
Melanie Hall, Deputy Administrator, Department of Securities
Laura Swingle, Business Manager, Department of Securities
Stephanie Ballard, Crawford & Associates, P.C.

Ms. Hyde officially opened the meeting. Notice of the date, time, and place of the meeting was given in accordance with applicable laws and rules.

The minutes of the July 30, 2015 special meeting were accepted as presented.

There was no Old Business.

Stephanie Ballard presented the Fiscal Year 2016 Financial Report through August 31, 2015. Ms. Ballard reported that revenue collections continue to increase over the prior fiscal year.

The Administrator and Deputy Administrator presented the activities of the Department for the months of July and August 2015, as contained in the written reports distributed to the Commissioners and incorporated herein by reference.

Mr. Faight reported on initial talks between the Investor Protection Institute (IPI) and SONIC Corp. relating to future financial support by SONIC of investor education and protection programs offered by IPI and the Department.

The meeting was adjourned.