



STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

REGULARLY SCHEDULED MEETING AGENDA
Oklahoma Securities Commission
204 North Robinson, Suite 400 Conference Room
Oklahoma City, Oklahoma

January 21, 2016

10:00 a.m.

- I. Call to Order
- II. Acceptance of Minutes for the November 19, 2015 regularly scheduled meeting
- III. Presentation of Invest Ed® STARS Fall 2015 awards to Central and Northwest regional winners and the statewide winner
- IV. Presentation, discussion and vote to accept Fiscal Year 2015 Financial Statements and Independent Auditor's Report
- V. Old Business
- VI. Administrator's Report
 - A. Presentation and discussion of the financial report through December 31, 2015
 - B. Presentation and discussion of the report on the enforcement activities of the Department during the months of November and December 2015
 - C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of December 31, 2015
 - D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of November and December 2015
 - E. Presentation and discussion of the reports on the on-site examinations for the months of November and December 2015
 - F. Presentation and discussion of investor education activities
 - G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities
 - H. Presentation and discussion of rulemaking activity
- VII. New Business
- VIII. Adjournment