



STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

REGULARLY SCHEDULED MEETING AGENDA

**Oklahoma Securities Commission
204 North Robinson, Suite 400 Conference Room
Oklahoma City, Oklahoma**

March 17, 2016

10:00 a.m.

- I. Call to Order
- II. Acceptance of Minutes for the January 21, 2016 regularly scheduled meeting
- III. Old Business
- IV. Administrator's Report
 - A. Presentation and discussion of the financial report through February 29, 2016
 - B. Presentation and discussion of the report on the enforcement activities of the Department during the months of January and February 2016
 - C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of February 29, 2016
 - D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of January and February 2016
 - E. Presentation and discussion of the report on the on-site examinations for the months of January and February 2016
 - F. Presentation and discussion of investor education activities
 - G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities
 - H. Presentation and discussion of rulemaking activity
- V. New Business
- VI. Adjournment