

MINUTES OF THE REGULARLY SCHEDULED MEETING
OF THE
OKLAHOMA SECURITIES COMMISSION

March 17, 2016

Pursuant to the previously announced date and time, the regularly scheduled meeting of the Oklahoma Securities Commission (Commission) convened at 10:00 a.m., March 17, 2016, in the conference room of the Oklahoma Department of Securities, Oklahoma City, Oklahoma. The following members were present:

Nancy Hyde, Chairperson
Charles E. Newton, Vice-Chairperson
Robert M. Neville, Member
P. David Newsome, Jr., Member

Mick Thompson, Ex-Officio Member, was not in attendance.

The following persons were also present:

Irving L. Faught, Administrator, Department of Securities
Melanie Hall, Deputy Administrator, Department of Securities
Carol Gruis, Director of Examinations & Licensing, Department of Securities
Laura Swingle, Business Manager, Department of Securities
Gerri Kavanaugh, Attorney, Department of Securities
Shaun Mullins, Attorney, Department of Securities
Stephanie Ballard, Crawford & Associates, P.C.

Ms. Hyde officially opened the meeting. Notice of the date, time, and place of the meeting was given in accordance with applicable laws and rules.

The minutes of the January 21, 2016 regularly scheduled meeting were accepted as presented.

There was no Old Business.

Stephanie Ballard presented the Financial Report through February 29, 2016, as contained in the written reports distributed to the Commissioners and incorporated herein by reference. The Administrator and Deputy Administrator presented the other activities of the Department for the months of January and February 2016, as contained in the written reports distributed to the Commissioners and incorporated herein by reference.

Ms. Hall reported on the status of pending legislation relating to notice filings for Regulation A, Tier 2 offerings, authorization for payment by the Department of certain expenses associated with the investor education program, and intrastate crowdfunding.

Ms. Kavanaugh and Mr. Mullins presented a demonstration of TrialPad, an iPad application to organize, annotate and present evidence.

The meeting was adjourned.