

660:11-7-51. SEC covered investment adviser notice filing [\[AMENDED\]](#)

(a) **Initial filing.** A federal covered investment adviser making its initial notice filing in the state of Oklahoma pursuant to Section 1-405 of the Securities Act:

____ (1) shall file with the IARD:

(A) a new or amended Form ADV, ~~including Schedules A-I,~~ designating Oklahoma on Item 2.B of Part 1A; and

____ (B) the investment adviser notice filing fee set forth in Section 1-612 of the Securities Act; and

____ (2) shall comply with existing federal requirements with regard to the Part 2 of the Form ADV.

(b) **Renewal.** Federal covered investment advisers who have made a notice filing pursuant to Section 1-405 of the Securities Act may renew their notice by submitting to the IARD the investment adviser notice filing fee set forth in Section 1-612 of the Securities Act.