

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER
120 N. ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

A.I.M., Robert Diaz,
and John Anthony,

Respondents.

ODS File No. 04-058

ORDER TO CEASE AND DESIST

On April 28, 2004, a recommendation to issue an order to cease and desist (Recommendation) against A.I.M., Robert Diaz, and John Anthony (collectively, the "Respondents") was filed with the Administrator (Administrator) of the Oklahoma Department of Securities (Department). The issuance of such order is authorized by subsection (a) of Section 406 of the Oklahoma Securities Act (Act), Okla. Stat. *tit.* 71, §§ 1-413, 501, 701-703 (2001 & Supp. 2003).

On April 29, 2004, the Administrator of the Department issued a Notice of Opportunity for Hearing with the Recommendation attached (Notice). Pursuant to subsection (h) of Section 413 of the Act, the Notice was served on the Administrator of the Department on April 29, 2004, and mailed to the last known addresses of Respondents, by certified mail, return receipt requested, and delivery restricted.

The Notice specified that failure to request a hearing within fifteen (15) days of service of the Notice will result in the issuance of an order to cease and desist. No request for hearing or any other response has been received by the Administrator within the time limit specified in the Notice.

The Administrator, being fully advised in the premises, hereby finds that this action is necessary and appropriate in the public interest and sets forth the following Findings of Fact and Conclusions of Law in support thereof.

Findings of Fact

1. At all times relevant hereto, A.I.M. (AIM) was purported to be a California corporation with its principal place of business in San Diego, California.
2. At all times relevant hereto, Robert Diaz (Diaz), an individual, was purported to be a resident of the state of California and a broker for AIM.

3. At all times relevant hereto, John Anthony (Anthony), an individual, was purported to be a resident of the state of California and a salesman for AIM.

4. Beginning in or about May, 2002, Respondents offered and/or sold shares of stock in ISIS Pharmaceuticals Inc. (Shares) in the state of Oklahoma. An Oklahoma resident purchased 435 Shares for a total purchase price of \$5,000.

5. The Shares offered and sold by Respondents in the state of Oklahoma were not registered pursuant to Section 301 of the Act.

To the extent any of these Findings of Fact should be considered Conclusions of Law, they should be so considered.

Conclusions of Law

1. Effective service of process was made on Respondents pursuant to Section 413 of the Act.

2. The shares of stock are securities.

3. Respondents offered and sold unregistered securities in the state of Oklahoma, in violation of Section 301 of the Act.

4. The Administrator has the authority pursuant to Section 406 of the Act to issue an order to cease and desist.

5. It is in the public interest to order Respondents to cease and desist from violations of Section 301 of the Act.

To the extent any of these Conclusions of Law should be considered Findings of Fact, they should be so considered.

ORDER

IT IS HEREBY ORDERED that Respondents cease and desist from engaging in violations of Section 301 of the Act and from doing any act in furtherance thereof.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 21st day of June, 2004.

(SEAL)



IRVING L. FAUGHT, ADMINISTRATOR OF THE
OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF MAILING

The undersigned hereby certifies that on the 21st day of June, 2004, a true and correct copy of the above and foregoing Order to Cease and Desist was mailed by certified mail, return receipt requested, delivery restricted, with postage prepaid thereon addressed to:

A.I.M.
9255 Customhouse Plaza, Suite F
San Diego, CA 92154

Robert Diaz
9255 Customhouse Plaza, Suite F
San Diego, CA 92154

John Anthony
9255 Customhouse Plaza, Suite F
San Diego, CA 92154


Brenda London Smith
Brenda London Smith
Paralegal