

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER
120 N. ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Christopher R. Moreno,

Respondent.

ODS File No. 07-088

ORDER TO CEASE AND DESIST

Pursuant to Section 1-602 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003), the Oklahoma Department of Securities ("Department") conducted an investigation into the activities of Christopher R. Moreno ("Respondent") to determine whether certain violations of the Act and/or the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities ("Rules") may have occurred.

In order to resolve the violations of the Act discovered during the Department's investigation, Respondent voluntarily executed the Agreement that is attached hereto as "Exhibit A" and incorporated herein by reference and consented to the issuance of this *Order to Cease and Desist* ("Order").

This Order is issued pursuant to Section 1-604 of the Act and 660:2-5-3 of the Rules (as amended July 1, 2007). For purposes of this Order, the Administrator hereby adopts the following Findings of Fact: (1) in January 2005, an Oklahoma resident paid Respondent the sum of Ten Thousand Dollars (\$10,000) in reliance on Respondent's representation that he would invest the money in certain securities on behalf of the Oklahoma resident, but Respondent used the money to pay for Respondent's personal expenses instead of investing the funds; (2) Respondent executed the Agreement; (3) Respondent agrees that the Administrator has jurisdiction over the parties and the subject matter of this action and agrees to the issuance of this Order. The Administrator hereby adopts the following Conclusions of Law: (1) Respondent made an untrue statement of material fact in connection with the offer and/or sale of a security in and/or from the state of Oklahoma in violation of Section 1-501 of the Act; (2) the Administrator was authorized to enter into the Agreement and is authorized to issue this Order pursuant to Section 1-604 of the Act and 660:2-5-3 of the Rules (as amended July 1, 2007); and (3) it is in the public interest for the Administrator to issue this Order.

NOW THEREFORE, IT IS HEREBY ORDERED that Respondent cease and desist from engaging in any act, practice, or course of business constituting a violation of the Act and/or a rule adopted under the Act and from doing any act in furtherance thereof.

IT IS FURTHER ORDERED that Respondent shall comply with all other terms of the Agreement.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 25th day of February, 2008.

(SEAL)



IRVING L. FAUGHT, ADMINISTRATOR OF
THE OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF SERVICE

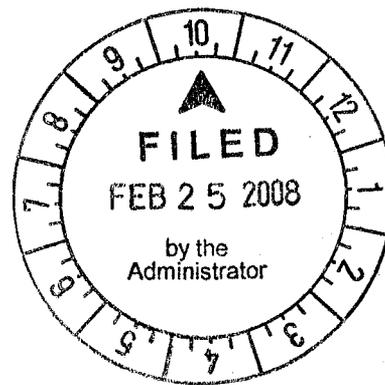
The undersigned hereby certifies that on the 25th day of February, 2008, a true and correct copy of the above and foregoing *Order to Cease and Desist* was mailed by certified mail, return receipt requested, to:

Christopher R. Moreno
27 Sequoyah Boulevard
Shawnee, OK 74801



Terra Shamas Bonnell

STATE OF OKLAHOMA
 DEPARTMENT OF SECURITIES
 THE FIRST NATIONAL CENTER
 120 N. ROBINSON, SUITE 860
 OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Christopher R. Moreno,

Respondent.

ODS File No. 07-088

AGREEMENT

THIS AGREEMENT is entered into between Christopher R. Moreno ("Respondent") and the Administrator ("Administrator") of the Oklahoma Department of Securities ("Department") as of the Effective Date set forth below.

Pursuant to Section 1-602 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003), the Department conducted an investigation into the activities of Respondent to determine whether certain violations of the Act and/or the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities ("Rules") may have occurred.

The Department's investigation revealed that Respondent was registered as an agent under the Act and the predecessor act, Oklahoma Securities Act, Okla. Stat. tit. 71, §§ 1-403, 501, 701-703 (2001 & Supp. 2003), from February 2000 through May 2001 and from May 2003 through September 2004. The Department's investigation further revealed that, in January 2005, an Oklahoma resident who was an existing client of Respondent (the "Investor"), paid Respondent the sum of Ten Thousand Dollars (\$10,000) in reliance on Respondent's representation that he would invest the money in certain securities on her behalf. Instead of investing the Investor's funds, Respondent used the money to pay for Respondent's personal expenses.

Respondent desires to settle this matter expeditiously and in a manner consistent with the purposes fairly intended by the policies and provisions of the Act.

The undersigned parties hereto agree as follows:

1. **Order to Cease and Desist.** The Administrator will issue an *Order to Cease and Desist* in the form attached hereto as "Attachment A."
2. **Sale of Securities.** Respondent will not transact business in and/or from the state of Oklahoma as an "agent," "broker-dealer," "issuer," "investment adviser," and/or "investment adviser representative," as those terms are defined in Section 1-102 of the Act.

3. **Refund to Investor.** Respondent will refund the sum of Ten Thousand Dollars (\$10,000) to the Investor before February 16, 2010. Respondent shall make an initial payment in the amount of Eight Hundred Dollars (\$800) to the Investor on or before March 15, 2008. The remaining balance shall be paid in monthly installments of at least Four Hundred Dollars (\$400), due on the fifteenth (15th) day of each month, beginning on April 15, 2008. All payments must be made by cashier's check or money order, payable to the Investor, and must be received, on or before the date they are due, at the address provided by the Department to Respondent. A copy of each cashier's check or money order shall be simultaneously mailed to:

Oklahoma Department of Securities
Attn: Terra Bonnell
Suite 860, First National Center
120 North Robinson Avenue
Oklahoma City, OK 73102.

4. **Jurisdiction.** The Administrator has jurisdiction over Respondent and the subject matter of this action.

5. **No Coercion.** Respondent enters into this Agreement voluntarily and without any duress, undue influence, or coercion by the Administrator, any employee of the Department, or the Oklahoma Securities Commission.

6. **Entire Agreement.** This writing constitutes the entire agreement of the parties with respect to the subject matter hereof and supersedes any and all prior and contemporaneous agreements, representations and understandings of the parties. No supplement, modification or amendment to this Agreement shall be binding unless executed in writing by each of the parties hereto.

7. **Limitation on Agreement.** Nothing in this Agreement shall prohibit the Administrator from furnishing information to any other properly constituted agency or authority. In the event any other agency or authority commences an action in connection with information obtained by the Administrator against Respondent, the Administrator may assist in such actions as authorized by law.

8. **Effective Date.** This Agreement shall be effective as of the date on which it is signed by the Administrator as set forth below his signature hereto.

9. **Consideration.** In consideration for this Agreement, the Administrator will not take further action against Respondent in connection with the offer and/or sale of securities to the Investor in January 2005.

10. **Failure to Comply.** If Respondent fails to comply with the terms of this Agreement in any material respect, the Administrator shall proceed against Respondent as authorized by law.

11. **Applicability.** This Agreement applies only to the activities of Respondent in connection with the offer and/or sale of securities to the Investor in January 2005, and to no others.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the date and year set forth below their signatures hereto.

CHRISTOPHER R. MORENO



Date: 02/13/08

Residential Address: 27 Sequoyah Blvd.
Shawnee, OK 74801

IRVING L. FAUGHT, ADMINISTRATOR
OKLAHOMA DEPARTMENT OF SECURITIES



Date: February 25, 2008

Approved as to form:



Terra Shamas Bonnell, OBA No. 20838
Oklahoma Department of Securities
120 North Robinson Avenue, Suite 860
Oklahoma City, OK 73102
ATTORNEY FOR DEPARTMENT

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER
120 N. ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102

In the Matter of:

Christopher R. Moreno,

Respondent.

ODS File No. 07-088

ORDER TO CEASE AND DESIST

Pursuant to Section 1-602 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003), the Oklahoma Department of Securities ("Department") conducted an investigation into the activities of Christopher R. Moreno ("Respondent") to determine whether certain violations of the Act and/or the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities ("Rules") may have occurred.

In order to resolve the violations of the Act discovered during the Department's investigation, Respondent voluntarily executed the Agreement that is attached hereto as "Exhibit A" and incorporated herein by reference and consented to the issuance of this *Order to Cease and Desist* ("Order").

This Order is issued pursuant to Section 1-604 of the Act and 660:2-5-3 of the Rules (as amended July 1, 2007). For purposes of this Order, the Administrator hereby adopts the following Findings of Fact: (1) in January 2005, an Oklahoma resident paid Respondent the sum of Ten Thousand Dollars (\$10,000) in reliance on Respondent's representation that he would invest the money in certain securities on behalf of the Oklahoma resident, but Respondent used the money to pay for Respondent's personal expenses instead of investing the funds; (2) Respondent executed the Agreement; (3) Respondent agrees that the Administrator has jurisdiction over the parties and the subject matter of this action and agrees to the issuance of this Order. The Administrator hereby adopts the following Conclusions of Law: (1) Respondent made an untrue statement of material fact in connection with the offer and/or sale of a security in and/or from the state of Oklahoma in violation of Section 1-501 of the Act; (2) the Administrator was authorized to enter into the Agreement and is authorized to issue this Order pursuant to Section 1-604 of the Act and 660:2-5-3 of the Rules (as amended July 1, 2007); and (3) it is in the public interest for the Administrator to issue this Order.

NOW THEREFORE, IT IS HEREBY ORDERED that Respondent cease and desist from engaging in any act, practice, or course of business constituting a violation of the Act and/or a rule adopted under the Act and from doing any act in furtherance thereof.

IT IS FURTHER ORDERED that Respondent shall comply with all other terms of the Agreement.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this ____ day of January, 2008.

(SEAL)

IRVING L. FAUGHT, ADMINISTRATOR OF
THE OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the ____ day of January, 2008, a true and correct copy of the above and foregoing *Order to Cease and Desist* was mailed by certified mail, return receipt requested, to:

Christopher R. Moreno
27 Sequoyah Boulevard
Shawnee, OK 74801

Brenda London, Paralegal