

IN THE DISTRICT COURT OF OKLAHOMA COUNTY  
STATE OF OKLAHOMA

FILED IN DISTRICT COURT  
OKLAHOMA COUNTY

APR 25 2016

TIM RHODES  
COURT CLERK

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Oklahoma Department of Securities )  
ex rel. Irving L. Faught, )  
Administrator, )  
 )  
Plaintiff, )  
 )  
v. )  
 )  
Roland L. Cowperthwaite, )  
 )  
Defendant. )

Case No. CJ-2016-1678 (Dixon)

**AGREED ORDER OF TEMPORARY INJUNCTION**

This matter is before the Court on the motion of Plaintiff, Oklahoma Department of Securities ex rel. Irving L. Faught, Administrator, for a temporary injunction to restrain Defendant, Roland L. Cowperthwaite, from transacting business in this state as an investment adviser, pursuant to Section 1-603 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011).

Based upon the brief, affidavits, and evidence presented, it appears to this Court that Plaintiff is entitled to the temporary injunction requested. Further, Defendant has consented to the entry of this Order.

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendant is, until further notice of this Court, enjoined from transacting business in this state as an investment adviser and providing recommendations or other advice regarding securities to another person for compensation.

IT IS FURTHER ORDERED that Defendant, his agents, servants, employees, assigns and all those persons, directly and/or indirectly, acting on his behalf, under his

direction and control, and/or in active concert or participation with him who receive actual notice of this Order, by personal service, email, facsimile or otherwise, be and hereby are, and until further notice of this Court, enjoined from tampering with, mutilating, altering, fabricating, erasing, concealing, removing, destroying or otherwise disposing of any and all books, records, documents, files, correspondence, computer disks, tapes or other data recordings of any type, relating, pertaining, or referring to any investment advice provided by Defendant or investment advisory fee received by Defendant.

*A.m.* THIS ORDER IS ENTERED this 21<sup>st</sup> day of April, 2016, at 11:30,

**BRYAN C. DIXON**

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BRYAN C. DIXON, DISTRICT JUDGE

CERTIFIED COPY  
AS FILED OF RECORD  
IN DISTRICT COURT

APR 25 2016

TIM RHODES Court Clerk  
Oklahoma County

Tim Rhodes

APPROVED:



Terra Shamas Bonnell (OBA# 20838)  
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**Attorney for Defendant**

### CERTIFICATE OF SERVICE

The undersigned certifies that on the 25 day of April, 2016, a copy of the forgoing Order was sent by First-Class Mail, postage prepaid, to:

D. Michael O'Neil, Esq.  
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