

IN THE DISTRICT COURT OF OKLAHOMA COUNTY
STATE OF OKLAHOMA

JUL 15 2016

RICK WARREN
COURT CLERK

78_____

SOUTHEAST INVESTMENTS, N.C. INC.,)
A NORTH CAROLINA CORPORATION;)
and FRANK H. BLACK)

Plaintiff/Petitioners,)

vs.)

Case No. CV-2015-86

THE STATE OF OKLAHOMA ex rel, THE)
OKLAHOMA SECURITIES COMMISSION,)

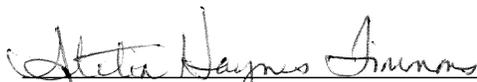
Defendant/Respondent.)

ORDER SUSTAINING THE RULING OF THE OKLAHOMA
SECURITIES COMMISSION

Before the court is the Appeal of the Petitioner, Southeast Investments, N.C. Inc. and Frank H. Black. The court has reviewed the Appeal filings the rulings of the administrative agency, the briefs of the parties and finds as follows:

1. The Petitioner is subject to the jurisdiction of the Oklahoma Securities Commission and the Commission did not exceed its jurisdiction in this case Pursuant to Oklahoma Uniform Securities Act 71 O.S. 1-101 through 1-701
2. That the Commission has the lawful authority to require, enforce and monitor the policies regarding the supervision of brokers-dealers and investment advisors working under the auspices of the Petitioners.
3. That there was substantial evidence of violations of the Oklahoma Securities Act such that the findings of fact and conclusions of law are borne out in the record.

WHEREFORE, The Court affirms the Order of the Commission and finds that the ruling of the Oklahoma Securities Commission is not arbitrary or capricious and is supported by substantial evidence.


Honorable Aletia Haynes Timmons
Judge of the District Court

CERTIFICATE OF SERVICE

Comes now, the Clerk, and hereby certifies that a true and correct copy of the Courts Order was mailed this _____ day of July, 2016, to:

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Charles Scarborough
Deputy Court Clerk