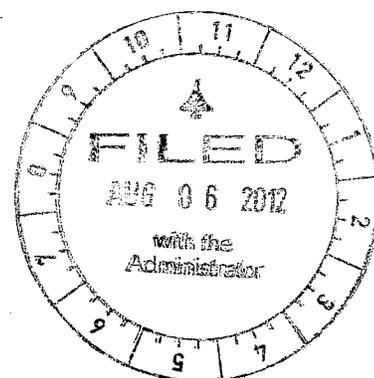


STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
THE FIRST NATIONAL CENTER  
120 N. ROBINSON, SUITE 860  
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Anthony L. Cross (CRD #3155726), and  
The O.N. Equity Sales Company (CRD #2936),

Respondents.

ODS File No. 11-017

**ANTHONY CROSS FINAL WITNESS LIST**

Respondent Anthony L. Cross ("Cross") respectfully submits this final list of witnesses pursuant to the Agreed Scheduling Order on file in this matter and the parties' courtesy in permitting Cross to make this filing on August 6, 2012. Cross expressly reserves the right to more particularly identify and list one more Expert Witness for Cross in the event unforeseen circumstances prevent two or more of those listed below from testifying at hearing.

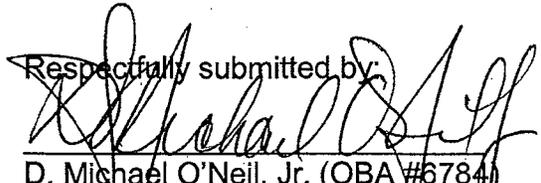
**WITNESSES**

<b><u>No.</u></b>	<b><u>Name and Address</u></b>	<b><u>Proposed Testimony</u></b>
1.	Anthony Cross c/o D. Michael O'Neil	Respondent, who has been deposed and will testify regarding his relationship with Mr. and Mrs. Hughes, Sharyn Williams, ONESCO, Harold Spurr, and Spurr Mortgage as well as the allegations contained in the ODS Enforcement Recommendation and documents introduced at hearing
2.	Jay Bley, ONESCO Representative and Expert c/o Robert J. Carlson	Compliance Officer and Expert on Financial Advisor / Registered Representative Oversight and Compliance, who has been deposed and will testify regarding the allegations contained in the ODS Enforcement Recommendation, ONESCO

		Policies and Procedures, Investment Industry Standards and documents introduced at hearing as well as Financial Advisor Responsibilities, Investment Suitability, Financial Advisor Regulation / Supervision, Theresa Hughes investments / investment accounts, other matters at issue, and the allegations contained in the ODS Enforcement Recommendation, and documents introduced at hearing
3.	Theresa Hughes 	Customer of Respondent Cross, who has been deposed and will testify regarding the allegations contained in the ODS Enforcement Recommendation and documents introduced at hearing
4.	Sharyn Williams Spurr Mortgage 233 E. 10th Street Edmond, OK 73034	Retired mortgage broker, who has been deposed and who will testify regarding the allegations contained in the ODS Enforcement Recommendation, documents introduced at hearing and about her communications with and services provided to Theresa Hughes enabling her to obtain a mortgage on (and otherwise refinance) her home.
5.	Merrill Lynch Pierce Fenner & Smith Representative 211 North Robinson Avenue Oklahoma City, OK 73102.	Firm Representative, who will testify regarding documents introduced at hearing, Theresa Hughes' investments, risk tolerance, Theresa Hughes' IRA and other investment accounts at Merrill Lynch, Theresa Hughes' investment experience at Merrill Lynch, and allegations contained in the ODS Enforcement Recommendation.
6.	Harold Spurr Spurr Mortgage 233 E. 10th Street Edmond, OK 73034	Mortgage broker, who will testify regarding the allegations contained in the ODS Enforcement Recommendation and about his communications with and services provided to Theresa Hughes enabling her to obtain a mortgage on (and otherwise refinance) her home and documents introduced at hearing.
7.	Beverly Cross 	Employee of Respondent Anthony Cross and T.L. Cross Brokerage, LLC, who has been deposed and who will testify regarding the allegations contained in the ODS Enforcement Recommendation and

		documents introduced at hearing.
8.	Tamatha Rowe-Simons 	Personal Representative of Theresa Hughes, who has been deposed and who will testify regarding her relationship with Theresa Hughes and the allegations contained in the ODS Enforcement Recommendation and documents introduced at hearing.
9.	Ed Harris Bates Group LLC Expert 288 Moonraker Drive Slidell, LA 70458	Expert Witness Testimony about Financial Advisor Responsibilities, Investment Suitability, Financial Advisor Regulation / Supervision, Theresa Hughes investments / investment accounts, other matters at issue, the allegations contained in the ODS Enforcement Recommendation, and documents introduced at hearing
10.	Joseph J. Thomas Bates Group LLC Expert 5005 SW Meadows Road Suite 300 Lake Oswego, OR 97035	Expert Witness Testimony about Financial Advisor Responsibilities, Investment Suitability, Financial Advisor Regulation / Supervision, Theresa Hughes investments / investment accounts, other matters at issue, and the allegations contained in the ODS Enforcement Recommendation, and documents introduced at hearing
11.	Expert from Bates Group LLC 5005 SW Meadows Road Suite 300 Lake Oswego, OR 97035  (Yet to be named and only to be used if other Experts cannot appear at hearing)	Expert Witness Testimony about Financial Advisor Responsibilities, Investment Suitability, Financial Advisor Regulation / Supervision, Theresa Hughes investments / investment accounts, other matters at issue, and the allegations contained in the ODS Enforcement Recommendation, and documents introduced at hearing
12.	All witnesses listed by ODS and ONESCO not objected to by Respondent Cross.	
13.	Rebuttal Witnesses	Testimony to rebut evidence to rebut evidence offered by ODS at hearing.

Respectfully submitted by:



D. Michael O'Neil, Jr. (OBA #6784)  
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**ATTORNEY FOR RESPONDENT  
ANTHONY CROSS**

**CERTIFICATE OF SERVICE**

I hereby certify that a copy of this instrument was served on the following individuals and entities by depositing same in the U.S. Mail, first-class postage prepaid, and/or by other means as noted below, on August 6, 2012:

Irving L. Faught  
Administrator, Okla. Dept. of Securities  
120 North Robinson, Suite 860  
Oklahoma City, OK 73102

U.S. Mail     Fax     E-mail

Terra S. Bonnell, Enforcement Attorney  
Oklahoma Department of Securities  
120 North Robinson, Suite 860  
Oklahoma City, Oklahoma 73102

U.S. Mail     Fax     E-mail

Robert J. Carlson  
GABLEGOTWALS  
100 West Fifth Street  
Suite 1100  
Tulsa, Oklahoma  
**ATTORNEYS FOR ONESCO**

U.S. Mail     Fax     E-mail

  
D. Michael O'Neil