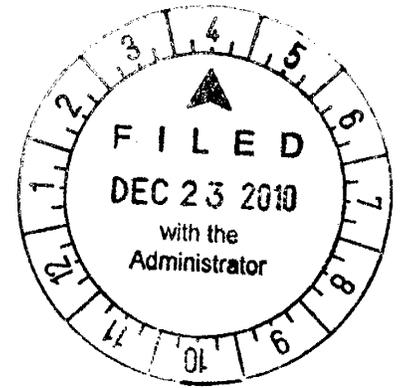


STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER
120 NORTH ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Geary Securities, Inc. *aka* Capital West Securities, Inc.;
Keith D. Geary; Norman Frager; and CEMP, LLC,

Respondents.

ODS File No. 09-141

RESPONDENTS' PRELIMINARY LIST OF WITNESSES AND EXHIBITS

Respondents Keith D. Geary, Geary Securities, Inc. (formerly known as Capital West Securities, Inc.), and CEMP, LLC (collectively "Geary") respectfully submit this preliminary list of witnesses and exhibits pursuant to the Agreed Scheduling Order issued on December 14, 2010. Geary expressly reserves the right to identify and list additional witnesses and exhibits in its Final Witness and Exhibit Lists pursuant to the Agreed Scheduling Order.

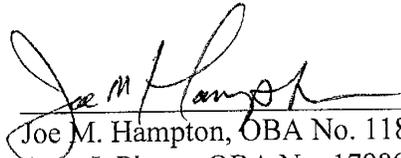
I. PRELIMINARY WITNESS LIST.

1. All witnesses identified by Department's Preliminary List of Witnesses and Exhibits, filed December 22, 2010, to the extent such witnesses' testimony is relevant, admissible, and not objected to by Respondents.
2. All witnesses identified by Respondent Norman Frager, to the extent such witnesses' testimony is relevant, admissible, and not objected to by Respondents.
3. All witnesses necessary to rebut the testimony of any witness or document or exhibit identified by Department's preliminary or final witness lists, exhibit lists, or offered by Department at the hearing.

II. PRELIMINARY EXHIBIT LISTS.

1. All exhibits identified by Department's Preliminary List of Witnesses and Exhibits, filed December 22, 2010, to the extent such exhibits are relevant, admissible, and not objected to by Respondents.
2. All exhibits identified by Respondent Norman Frager to the extent such exhibits are relevant, admissible, and not objected to by Respondents.
3. All documents to be produced by Department and non-parties in this proceeding.

Respectfully submitted,



Joe M. Hampton, OBA No. 11851
Amy J. Pierce, OBA No. 17980
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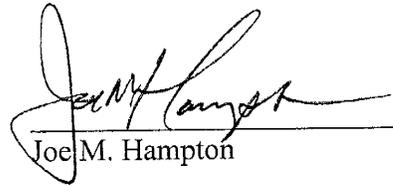
CERTIFICATE OF SERVICE

I hereby certify that on December 23, 2010, a copy of the foregoing document was served on the following by e-mail:

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