

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER
120 N. ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Rodney Larry Watkins, Jr. (CRD #3091936);
Southeast Investments, N.C. Inc. (CRD #43035); and
Frank H. Black (CRD #22451);

Respondents.

ODS File No. 12-058

NOTICE OF APPEAL

For purposes of filing this NOTICE OF APPEAL, it is presumed that the final order of the Administrator has been filed before the Commission in conformance with Section 660:1-5-1(a) of the Rules.

This Notice is being submitted in this matter by Respondents Southeast Investments, N.C. Inc. (CRD #43035) and Frank H. Black (CRD #22451). This is an appeal from the totality of the Order requiring the Respondents to cease and desist from their violations of the Oklahoma Securities Act in failing to establish, maintain and/or enforce supervisory procedures to enable Southeast Investments, N.C. Inc. to assist compliance with applicable securities law. The Order further requires that the Respondents, jointly, pay a monetary penalty in the amount of \$5,000 to the Department of Securities, by cashier's check or money order within ninety (90) days of the date of the Order (October 10, 2014).

Respondents hereby, jointly, request a record be compiled on appeal for which they agree to pay for the preparation of same.

Respondents, jointly, further request a stay of the Administrator's Order pending this appeal.

Respectfully submitted,

Dated: October 22, 2014


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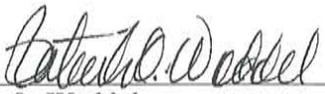
CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 22nd day of October, 2014, a true and correct copy of the above and foregoing NOTICE OF APPEAL was sent in the following manner to the specified individuals:

By electronic mail and mailed with postage prepaid thereon, addressed to:

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