

OKLAHOMA DEPARTMENT OF SECURITIES  
FIRST NATIONAL CENTER  
120 NORTH ROBINSON, SUITE 860  
OKLAHOMA CITY, OKLAHOMA 73102



SOUTHEAST INVESTMENTS, N.C. INC. and  
FRANK H. BLACK,

Appellants.

v.

OSC 15-001

OKLAHOMA DEPARTMENT OF SECURITIES  
*ex rel.* IRVING L. FAUGHT, ADMINISTRATOR,

Appellee.

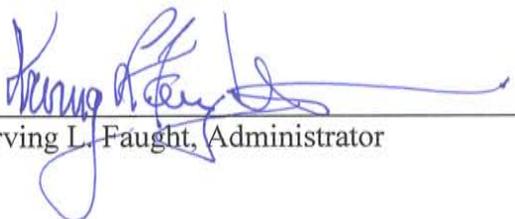
**NOTICE OF SERVICE OF RECORD ON APPEAL**

Notice is hereby given that service of the record on appeal in this matter was made on Wednesday, November 5, 2014.

Pursuant to 660:1-5-1 of the Administrative Rules of the Oklahoma Securities Commission and the Administrator of the Oklahoma Department of Securities (Rules), Appellants shall file six (6) copies of their opening brief on appeal with the Administrator and serve one copy on the Appellee within fifteen (15) days of the date hereof, that is, Thursday, November 20, 2014, by 5:00 p.m. Appellee shall file six (6) copies of its opening brief on appeal with the Administrator and serve one copy on the Appellant within fifteen (15) days of receipt of the Appellants' brief.

The briefs shall be prepared in accordance with 660:1-5-1(d) of the Rules. All parties shall include, either on the cover of the brief or by separate document filed with the brief, a statement as to whether oral argument before the Commission is desired.

Dated this 5th day of November, 2014.

  
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Irving L. Faught, Administrator

## CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 5th day of November, 2014, a true and correct copy of the above and foregoing *Notice of Service of Record on Appeal* was emailed to counsel for the Appellants and the Appellee and the members of the Oklahoma Securities Commission.

  
Brenda London, Paralegal