

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
204 NORTH ROBINSON, SUITE 400
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Halcyon Cabot Partners, LTD, (CRD #32664),

Respondent.

ODS File 16-043

ORDER CANCELLING REGISTRATION

The Administrator of the Oklahoma Department of Securities ("**Administrator**") issues this Order pursuant to Section 1-408(E) of the Oklahoma Uniform Securities Act of 2004 ("**Act**"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011).

Findings of Fact

1. Respondent has been registered under the Act as a broker-dealer since July 29, 2010. Respondent's home office is located in New York, New York.
2. Respondent was approved as a member of the Financial Industry Regulatory Authority ("**FINRA**") in October 2007.
3. On or about October 6, 2015, FINRA entered an Order, in Disciplinary Proceeding No. 2012033877802, wherein FINRA expelled Respondent from membership in FINRA on the basis that Respondent had willfully violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5(a) and (c) thereunder; NASD Rules 2310, 3010, and 3110(a); and FINRA Rules 2010, 2111, 2020, 3310(a), 3310(d) and 4511, during the time period December 2010 through May 2013 ("**FINRA Order**").
5. Respondent consented to entry of the FINRA Order.
6. Respondent has ceased to act as a broker-dealer.

To the extent any of these Findings of Fact are more properly characterized as Conclusions of Law, they should be so considered.

Authority

Section 1-408 of the Act provides, in pertinent part:

E. If the Administrator determines that a registrant or applicant for registration is no longer in existence or has ceased to act as a broker-dealer, agent, investment adviser, or investment adviser representative, or is the subject of an adjudication of incapacity or is subject to the control of a committee, conservator, or guardian, or cannot reasonably be located, a rule adopted or order issued under this act may require the registration be canceled or terminated or the application denied. The Administrator may reinstate a canceled or terminated registration, with or without a hearing, and may make the registration retroactive.

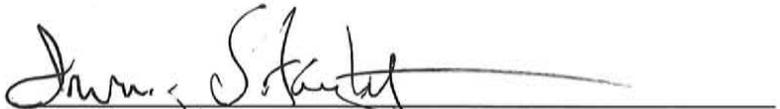
Conclusions of Law

1. The Administrator is authorized under Section 1-408(E) of the Act to cancel Respondent's registration under the Act as a broker-dealer.

2. It is in the public interest for the Administrator to cancel Respondent's registration under the Act as a broker-dealer.

To the extent any of these Conclusions of Law are more properly characterized as Findings of Fact, they should be so considered.

WHEREFORE, IT IS HEREBY ORDERED that Respondent's registration under the Act as a broker-dealer is canceled.



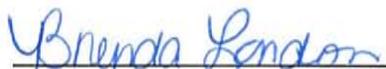
IRVING L. FAUGHT, ADMINISTRATOR OF THE
OKLAHOMA DEPARTMENT OF SECURITIES

(SEAL)

CERTIFICATE OF MAILING

I certify that on the 3rd day of March, 2016, a true and correct copy of the foregoing Order was sent by certified mail, return receipt requested, to:

Halcyon Cabot Partners, LTD
767 Third Avenue, 17th Floor
New York, NY 10017



Brenda London, Paralegal

U.S. Postal Service
CERTIFIED MAIL RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

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Notice 16-043 TB

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Certified Fee		345
Return Receipt Fee (Endorsement Required)		280
Restricted Delivery Fee (Endorsement Required)		515
Total Postage & Fees	\$	

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Here

Ronald Heineman, CCO
Halcyon Cabot Partners, LTD.
767 Third Avenue, 17th Fl.
New York, NY 10017

by mailer)

for Instructions

OKLAHOMA DEPARTMENT OF SECURITIES
204 N Robinson Ste 400
Oklahoma City OK 73102-7001

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