

IRVING L. FAUGHT  
ADMINISTRATOR



MARY FALLIN  
GOVERNOR

STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES

December 9, 2014

VIA CERTIFIED MAIL

Alan F. Goda  
69902 Van Gogh Road  
Cathedral City, CA 92234

Re: ODS File No. 15-038

Mr. Goda:

Pursuant to an investigation being conducted by the Oklahoma Department of Securities ("Department"), the Deputy Administrator of the Department has issued the attached Order to File Statement ("Order").

The Order requires the filing of a sworn statement with the Department on or before the date specified in the Order.

Please be advised that you have the right to consult with and be represented by counsel in connection with this matter. If you have any questions about the attached Order, please contact the undersigned at (405) 280-7716.

Respectfully,

A handwritten signature in cursive script that reads "David Lawson".

David Lawson  
Enforcement Attorney

Attachment

STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
FIRST NATIONAL CENTER, SUITE 860  
120 NORTH ROBINSON  
OKLAHOMA CITY, OKLAHOMA 73102



ORDER TO FILE STATEMENT  
*ODS File No. 15-038*

To: Alan F. Goda

The Deputy Administrator of the Oklahoma Department of Securities ("Department"), pursuant to Section 1-602 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011), issues this Order requiring the filing of a statement by Alan F. Goda.

For purposes of this Order the term:

**"You"** or **"Your"** refers to Alan F. Goda and all entities in which such individual has or has had a controlling interest; all affiliates thereof; all principals and employees thereof; and all agents, representatives, attorneys or other persons acting or purporting to act on his behalf;

**"Person"** refers to any natural individual, partnership, limited liability company, corporation, joint venture, or other form of business entity;

**"Identify,"** when used in reference to a natural individual, requires you to state his or her full name and residential, business and electronic mail addresses, and telephone numbers; and when used in reference to a partnership, limited liability company, corporation, joint venture, or other form of business entity, requires you to state its full name and any names under which it does business, its state of organization, the address of its principal place of business, and the addresses of all of its offices.

**IT IS HEREBY ORDERED** that Alan F. Goda file with the Department, no later than January 9, 2015, a sworn statement responding to the following:

1. Identify all persons, located or residing in the state of Oklahoma, on whose behalf you participated, directly or indirectly, in the offer or sale of any equity or debt interest in any entity, or any program or project thereof, including, but not limited to, Oklahoma Energy Exchange, LLC and United Alliance, LLC.

2. Identify all persons to which you offered or sold, directly or indirectly, any equity or debt interest in any entity identified in response to Item 1, or any program or project thereof.
3. Identify all persons, not identified in response to Item 1, on whose behalf you participated, directly or indirectly, in the offer or sale of any equity or debt interest in any entity, or any program or project thereof, to any person located or residing in the state of Oklahoma.
4. Identify all persons, located or residing in the state of Oklahoma, to which you offered or sold, directly or indirectly, any equity or debt interest in any entity identified in response to Item 3, or any program or project thereof.
5. For each offeree or purchaser identified in response to Items 2 and 4, describe all interests offered or sold to such person, your participation in such offers and sales, and the amount and basis of any compensation you received for such participation.
6. Explain whether a diligent search has been made for the information required by Items 1 through 5, whether all of the information required has been provided, and if not, the reason(s) why it has not.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 9th day of December, 2014.

(SEAL)



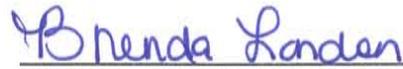
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Melanie Hall, Deputy Administrator  
Oklahoma Department of Securities  
First National Center, Suite 860  
120 North Robinson  
Oklahoma City, Oklahoma 73102  
(405) 280-7700

**CERTIFICATE OF SERVICE**

I certify that on December 9, 2014, I mailed the above and foregoing *Order to File Statement* via certified mail, return receipt requested, delivery restricted, to the following:

Alan F. Goda  
69902 Van Gogh Rd  
Cathedral City CA 92234

  
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Brenda London, Paralegal

U.S. Postal Service  
**CERTIFIED MAIL RECEIPT**  
(Domestic Mail Only; No Insurance Coverage Provided)

7000 0520 0022 7150 7781

OFS 15-038 DL

Postage	\$	48
Certified Fee		330
Return Receipt Fee (Endorsement Required)		270
Restricted Delivery Fee (Endorsement Required)		505
<b>Total Postage &amp; Fees</b>	<b>\$</b>	<b>11.53</b>

12-9-14

Postmark  
Here

Alan F. Goda  
69902 Van Gogh Rd  
Cathedral City CA 92234

(by mailer)

for instructions