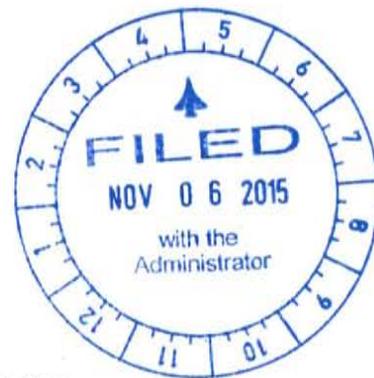


STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES



STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
ENFORCEMENT DIVISION

) ODS File No. 13-066  
)  
)  
)  
)

vs.

ANTHONY RAY STACY, an individual  
(CRD #1772484)  
PVPE, LLC

) Respondents  
)  
)

**RESPONDENT STACY'S PRELIMINARY LIST OF WITNESSES, DOCUMENTS,  
EXHIBITS**

I respectfully submit my preliminary list of witnesses, documents, and exhibits. I reserve the right to supplement this list based on other information or developments that may subsequently arise.

**WITNESSES**

1. Anthony R. Stacy
2. Paul Ross
3. Martin Garber
4. Cal Purdin
5. Scott Tominaga
6. Rafael Wiesenber
7. Valerie Lynch
8. Donald Pizziferro
9. Ron Stone

10. Peter Davis
11. Bob Mottern
12. All witnesses necessary to authenticate exhibits
13. All witnesses necessary for rebuttal

#### **DOCUMENTS, EXHIBITS**

1. PPM(s) of the Palo Verde Fund, L.P. and the Palo Verde Private Equity Fund, L.P.
2. Limited Partnership Agreements of the Palo Verde Fund, L.P. and the Palo Verde Private Equity Fund, L.P.
3. May 31, 2011 Consent of Investors
4. Inter Fund loan agreement(s) between Palo Verde Fund, L.P. and Palo Verde Private Equity Fund, L.P.
5. Financial Statements of the Palo Verde Fund, L.P. and Palo Verde Private Equity Fund, L.P.
6. All documents pertaining to transaction between Palo Verde Fund, L.P. and Arkoma Natural Gas, Palo Verde Energy Fund, LLC
7. All documents pertaining to any Order between Arizona Corporation Commission and Anthony R Stacy
8. All documents filed in this matter
9. All exhibits listed by State of Oklahoma
10. All exhibits necessary for rebuttal

Respectfully submitted,

s/Anthony R. Stacy  
Anthony R. Stacy  
9233 E. Canyon View Road  
Scottsdale, AZ 85255  
602.672.8835  
[tstacy911@gmail.com](mailto:tstacy911@gmail.com)

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that on the 6th day of November, 2015, a true and correct copy of the above and foregoing document was emailed to:

Terra Shamas Bonnell  
Oklahoma Department of Securities  
204 North Robinson, Suite 400  
Oklahoma City, OK 73102  
[tbonnell@securities.ok.gov](mailto:tbonnell@securities.ok.gov)

s/Anthony R. Stacy